

## Principal Page

In the next subsequent pages we have included financial statistical data organized by the different sectors that compose the economy of Puerto Rico.

This report has been updated to include the most recent quarter of 2011. The contact persons for the Financial Analysis Division are; Mr. Wally A. Sierra-Supervisor, Mrs. Frances Lebrón-Financial Analyzer Depository Sector and Mrs. Nayda Ivonne Marrero-Analyzer, Non Depository Sector.

**Financial Analysis Responsible Manager:** Mr. Wally A. Sierra, Financial Analysis Division.

[analisisfinanciero@ocif.gobierno.pr](mailto:analisisfinanciero@ocif.gobierno.pr)

Phone.(787)723-3131, Ext-2314, Fax.(787)723-4225. Address. P.O.Box 11855, San Juan PR. 00910-3855,

Ponce de Leon Ave. 1492, Centro Europa Building, suite 600, San Juan PR, 00907 or Ponce de Leon Ave. 1492, Centro Europa Building, suite 600, San Juan PR, 00907

**Publication date:** November 22, 2011

**Next Publication Report:** February 23, 2012 and May 23, 2012

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**Source:** Call Report quarterly submitted by the different sectors to the Office of the Commissioner of Financial Institutions.

**Legal Mark:** Article 10 of Law #4 dated October 11, 1985, as amended, provides faculty to the Commissioner to carry out all sorts of studies and research on issues affecting any branch of the banking, financial and securities industries for which they may require information that could be necessary, relevant and essential for such purposes.

## Total Customer Assets Under Control

Institutions	2005	2006	2007	2008	2009	2010	Q3-2011
Citicorp Financial Svcs. Corp.	-	-	-	-	-	-	-
Samuel Ramirez	47,000,000	55,000,000	82,000,000	107,000,000	87,000,000	90,000,000	114,000,000
Popular Securities	3,736,175,000	4,368,406,000	5,332,028,000	4,719,078,000	4,217,423,000	4,453,061,000	4,314,535,000
Oriental Financial Svcs. Corp.	1,020,252,544	1,019,102,949	1,183,949,201	1,133,624,749	1,206,646,708	1,640,599,626	999,999,999
RD Capital Group	81,312,000	138,047,000	119,602,000	94,947,000	124,593,000	92,748,000	85,890,000
BBVA Securities Inc.	290,157,000	432,227,000	461,938,000	457,134,000	528,372,000	593,778,000	561,235,000
UBS Financial Services Inc. of PR	11,704,000,000	11,382,000,000	12,373,351,000	12,520,910,000	14,164,103,000	15,357,784,000	15,335,836,000
Santander Securities	4,923,181,000	5,648,342,000	5,854,536,000	5,441,021,000	5,526,030,000	5,343,669,000	5,855,459,000
Doral Securities	-	-	-	-	-	-	-
Sydney Prevor & Co., Inc.	42,024,000	20,410,000	-	-	-	-	-
Wachovia Securities(Prudential)	325,637,591	347,108,261	387,361,891	222,574,069	290,010,831	332,039,970	-
Merrill Lynch, Pierce, Fenner	568,964,748	884,096,315	981,114,887	901,997,822	815,558,334	2,489,652,358	3,030,043,434
Veravest Investment	-	-	-	-	-	-	-
Morgan Stanley Dean Witter Reynolds	404,334,417	265,368,000	-	-	-	-	-
Charles Schwab	625,057,241	604,668,668	653,458,472	539,851,076	612,880,449	637,027,344	577,383,705
Linsco Private	-	-	-	-	-	-	-
Smith Barney- Retail Branch	1,943,000,000	1,524,000,000	-	-	-	-	-
Jefferson Pilot	2,653,000	3,402,000	3,970,000	3,694,000	6,066,000	9,286,000	9,438,000
R&G Investment Corp.	548,000,000	156,000,000	-	-	-	-	-
TD Waterhouse Investor	-	-	-	-	-	-	-
World Group Securities, Inc.	11,957,665	12,884,947	13,122,487	12,744,760	-	-	-
Raymond James Fin. Services	36,924,153	36,232,179	38,607,817	30,362,278	40,858,538	48,874,933	56,612,088
Tower Square Securities	43,862,000	43,882,000	-	73,000	62,000	801,000	543,000
Axa Advisors	5,500,000	2,400,000	1,800,000	1,500,000	1,700,000	1,500,000	1,800,000
TCM Capital	4,040,771	3,327,715	2,764,919	-	-	-	-
Financial Network Investment Corp.	91,424,000	35,629,000	36,628,380	2,631,705	-	-	-
Chase Securities PR	-	-	-	-	-	-	-
Smith Barney Inc.	-	-	-	-	-	-	-
Marketing One Securities	-	-	-	-	-	-	-
Rizek Investment	-	-	-	-	-	-	-
Booksheet Securities	-	-	-	-	-	-	-
BP Capital	-	-	-	-	-	-	-
All America Investment	-	-	-	-	-	-	-
Liberty Securities	-	-	-	-	-	-	-
Dean Witter Reynolds	-	-	-	-	-	-	-
Smith Barney PR Capital Market	-	-	-	-	-	-	-
RK Grace & Co.	-	-	-	-	-	-	-
Clark Melvin Securities	-	-	-	-	-	-	-
Transamerica Financial Advisors, Inc.	-	14,347,000	12,394,000	8,970,000	-	-	-
The Capital Market	-	-	-	-	-	-	-
New Covenant Funds Distributor, Inc.	-	3,053,993	2,889,256	1,815,791	-	-	-
GWFS Equities, Inc.	-	-	96,006,456	83,004,969	138,194,462	156,900,176	163,501,917
ING Financial Advisor, LLC	-	-	1,180,000	1,171,000	76,261,762	86,914,672	89,086,637
Consultiva Securities Inc.	-	-	295,983,849	194,718,345	159,090,214	380,050,392	436,680,421
International Fin. Solutions	-	-	-	-	-	6,959,985	2,480,097
<b>Total</b>	<b>\$ 26,455,457,130</b>	<b>\$ 26,999,935,027</b>	<b>\$ 27,934,686,615</b>	<b>\$ 26,478,823,564</b>	<b>\$ 27,994,850,298</b>	<b>\$ 31,721,646,456</b>	<b>\$ 31,634,524,298</b>
	<b>\$26.4 billions</b>	<b>\$26.9 billions</b>	<b>\$27.9 billions</b>	<b>\$26.5 billions</b>	<b>\$27.9 billions</b>	<b>\$31.7 billions</b>	<b>\$31.6 billions</b>

